



Serial No.: 75 PEB 15 PAN 2761 POLID FEB 15 PAN 2761 Attorney Docket: **FORM PTO-1449** 3788-7000US1 Applicant: INFORMATION\_DISCLOSURE CITATION Lawrence M. AUSUBEL Filing Date: September 15, 1999 U.S. PATENT DOCUMENTS **Examiner Document** Date Name Class Sub-Filing Date **Initial** Number Class Bijnagte 28 AA 5,235,680 08/93 395 161 - B AB 5,283,731 02/94 Lalonde et al 364 401 FOREIGN PATENT DOCUMENTS Document Sub-Number Date Country Class Class **Translation** <u>-a</u> AC WO 96/34356 10/96 **WIPO** G06F 17/60 ☐ Yes ☐ No OTHER DOCUMENTS (Including Author, Title, Date, etc.) J.S. Banks, J.O. Ledyard and D.P. Porter, "Allocating Uncertain and Unresponsive 0.9 AD Resources: An Experimental Approach", Rand Journal of Economics, Vol. 20, No. 1, Spring 1989, pp. 1-25. F.M. Menezes, Four Essays on Auction Theory, University of Illinois doctoral dissertation. **AE** February 1993, pp. 1-97 and 143-152. M.H. Rothkopf, T.J. Teisberg and E.P. Kahn, "Why are Vickrey Auctions Rare?", Journal AF of Political Economy, Vol. 98, No. 1, 1990, pp. 94-109. U.S. Department of the Treasury, U.S. Securities and Exchange Commission, and Board of Governors of the Federal Reserve System, Joint Report on the Government Securities AG market, Washington, D.C.: U.S.G.P.O., January 1992. W. Vickrey, "Counterspeculation, Auctions, and Competitive Sealed Tenders", Journal of AH Finance, Vol. 16, 1961, pp. 8-37. R.J. Weber, "Multiple-Object Auctions", Auctions, Bidding, and Contracting: Uses and AI 3. Theory, New York: New York University Press, 1983, pp. 165-191. AJ AK Examiner Date Considered 9/03/02.

Initial if reference considered, whether or not citation is in conformance with MPEP §609.

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**EXAMINER:**